**NOTE: Complete all sections and email the completed form to** [**iacuc**](mailto:iacuc@ocm.utah.edu)

*Non-compliance*: An occurrence, either major or minor, whether accidental or intentional, in a research activity which is not in accordance with the approved protocol.

The following are examples of types of non-compliance (major and minor):

* Not following the procedures described in the approved protocol.
* Altering anesthetics and/or analgesics without IACUC or Veterinarian approval.
* Performing unapproved procedures (surgery, treatments, behavioral testing, etc.).
* Administration of drugs/compounds not approved by the IACUC in the research protocol.
* Conducting pilot studies that have not been approved by the IACUC.
* Obtaining animals without IACUC approval and/or knowledge.
* Unapproved individuals participating in a research project.
* Not following aseptic technique as described in the approved protocol when performing survival surgery.

Please complete the following:

A 1.0 Today’s date:

A 2.0 Principal Investigator:

A 3.0 Department Chair:

A 4.0 Protocol number:

A 5.0 Protocol title:

A 6.0 Funding agency and account number:

A 7.0 Animal species:

A 8.0 Age of animal(s):

A 9.0 Provide an overview of the non-compliance:

A 10.0 Date(s) that the non-compliance occurred:

A 11.0 Number of animals involved in the non-compliance:

A 12.0 Did the animal experience inadvertent pain as a result of the non-compliance (more than momentary)?:

A 13.0 Describe the corrective actions to avoid future non-compliance:

A 14.0 Provide a conclusion: